

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing
OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number FSTK 1004-1US
First Named Inventor MICHAEL G. KAHN

COMPLETE IF KNOWN

Application Number 09 / 974,781
Filing Date OCTOBER 10, 2001
Group Art Unit 2166
Examiner Name UNKNOWN

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CLINICAL TRIAL PROTOCOL QUALITY
USING A MODEL-BASED METHODOLOGY**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 10/10/2001 as United States Application Number or PCT International

Application Number 09/974,781 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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City

State

ZIP

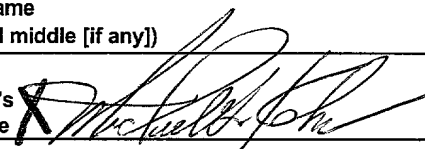

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
MICHAEL G.		KAHN	
Inventor's Signature 		Date 	
Residence: City	State	Country	Citizenship
BOULDER	CO	USA	USA

Mailing Address			
3980 GREENBRIAR BOULEVARD			
City	State	ZIP	Country
BOULDER	CO	80303	USA

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
CAROL A.		BROVERMAN	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
MENLO PARK	CA	USA	USA

Mailing Address			
303 CONCORD DRIVE			
City	State	ZIP	Country
MENLO PARK	CA	94025	USA

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

097431034550

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PTO/SB/02A (11-00)

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DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

KELLY A.

KINGDON

Inventor's
Signature

Date

Residence: City SAN RAFAEL

State CA

Country USA

Citizenship USA

Mailing Address 4 MOUNT DIABLO CIRCLE

Mailing Address

City SAN RAFAEL

State CA

ZIP 94903

Country USA

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First Named Inventor

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COMPLETE IF KNOWN

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Filing Date

OCTOBER 10, 2001

Group Art Unit

2166

Examiner Name

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Family Name
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KAHN

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USA

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(first and middle [if any])

CAROL A.

Family Name
or Surname

BROVERMAN

Inventor's
SignatureX *Carol A. Broverman*

Date

X *Jan 8 2002*

Residence: City

MENLO PARK

State

CA

Country

USA

Citizenship

USA

Mailing Address

303 CONCORD DRIVE

City

MENLO PARK

State

CA

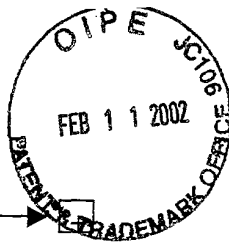
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Country USA

Citizenship USA

Mailing Address
4 MOUNT DIABLO CIRCLE

Mailing Address

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State CA

ZIP 94903

Country USA

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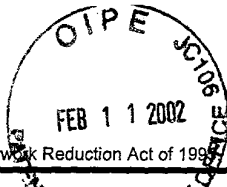
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First Named Inventor	MICHAEL G. KAHN
COMPLETE IF KNOWN	
Application Number	09 / 974,781
Filing Date	OCTOBER 10, 2001
Group Art Unit	2166
Examiner Name	UNKNOWN

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NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any])

MICHAEL G.

Family Name
or Surname

KAHN

Inventor's
Signature

Date

BOULDER

CO

USA

USA

Residence: City

State

Country

Citizenship

3980 GREENBRIAR BOULEVARD

Mailing Address

BOULDER

CO

80303

USA

City

State

ZIP

Country

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any])

CAROL A.

Family Name
or Surname

BROVERMAN

Inventor's
Signature

Date

MENLO PARK

CA

USA

USA

Residence: City

State

Country

Citizenship

303 CONCORD DRIVE

Mailing Address

MENLO PARK

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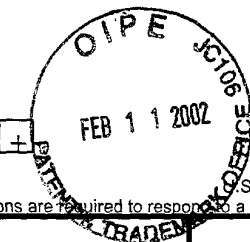
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DECLARATION

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Page 1 of 1

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

KELLY A.

KINGDON

Inventor's
Signature

Kelly A. Kingdon

Date

12-13-01

Residence: City

SAN RAFAEL

State

CA

Country

USA

Citizenship

USA

Mailing Address

4 MOUNT DIABLO CIRCLE

Mailing Address

City

SAN RAFAEL

State

CA

ZIP

94903

Country

USA

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Inventor's
Signature

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Residence: City

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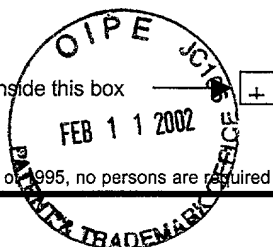
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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/974,781
Filing Date	October 10, 2001
First Named Inventor	Michael G. Kahn
Title	CLINICAL TRIAL PROTOCOL QUALITY USING A MODEL-BASED METHODOLOGY
Group Art Unit	2166
Examiner Name	Unknown
Attorney Docket Number	FSTK 1004-1US

I hereby appoint:

☒ Practitioners at Customer Number

22,470

OR

☐ Practitioner(s) named below:



Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

Place Customer
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☒ Firm or
Individual Name

HAYNES BEFFEL & WOLFELD LLP

Address

P.O. Box 366

Address

City

Half Moon Bay

State

CA

Zip

94019

Country

United States of America

Telephone

(650) 712-0340

Fax

(650) 712-0263

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

MICHAEL G. KAHN

Signature

X *Michael G. Kahn*

Date

X 19 December 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of one forms are submitted.

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SIGNATURE of Applicant or Assignee of Record

Name

CAROL A. BROVERMAN

Signature

X *Carol A. Broverman*

Date

X *January 8, 2002*

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FEB 11 2002

Application Number	09/974,781
Filing Date	October 10, 2001
First Named Inventor	Michael G. Kahn
Title	CLINICAL TRIAL PROTOCOL QUALITY USING A MODEL-BASED METHODOLOGY
Group Art Unit	2166
Examiner Name	Unknown
Attorney Docket Number	FSTK 1004-1US

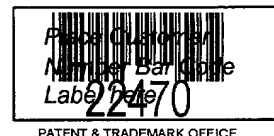
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Name

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X *Michael G. Kahn*

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X 19 December 2001

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